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# JORDAN-HÖLDER TYPE THEOREM IN NORMAL INTERMEDIATE SUBFACTOR LATTICES FOR DEPTH TWO INCLUSIONS OF AFD $\text{II}_1$ FACTORS

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ABSTRACT. Let  $N \subset M$  be a depth 2 inclusion of AFD  $\text{II}_1$  factors with finite Jones index. Let  $K$  and  $L$  be normal intermediate subfactors of  $N \subset M$ . If  $K \cap L = N$  and  $M$  is generated by  $K$  and  $L$ , then we can represent  $M, K, L, N$  as  $M = P \otimes R, K = Q \otimes R, L = P \otimes S$ , and  $N = Q \otimes S$  for some inclusions  $P \supset Q$  and  $R \supset S$ . Using this characterization, we shall prove Jordan-Hölder type theorem in normal intermediate subfactor lattices for depth 2 inclusions of AFD  $\text{II}_1$  factors.

## 1. INTRODUCTION

Let  $N \subset M$  be an irreducible inclusion of type  $\text{II}_1$  factors with finite index. In [9], the author introduced the notion of normality for intermediate subfactors of  $N \subset M$  as follows:

**Definition 1.1.** Let  $K$  be an intermediate subfactor of the inclusion  $N \subset M$ . Let  $N \subset M \subset M_1 \subset M_2$  be the Jones tower for  $N \subset M$  and  $K_1$  the basic extension for  $K \subset M$ . Then  $K$  is a *normal intermediate subfactor* of the inclusion  $N \subset M$  if  $e_K \in \mathcal{Z}(N' \cap M_1)$  and  $e_{K_1} \in \mathcal{Z}(M' \cap M_2)$ , where  $e_K$  and  $e_{K_1}$  are the Jones projections for  $K \subset M$  and  $K_1 \subset M_1$ , respectively.

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With the above notation, if the depth of  $N \subset M$  is 2, then  $N' \cap M_1$  and  $M' \cap M_2$  are a dual pair of Hopf  $C^*$ -algebras. and  $K' \cap K_1$  is a  $*$ -subalgebra and a left coideal of  $N' \cap M_1$  ( see [1]). Then  $K$  is a normal intermediate subfactor of  $N \subset M$  if and only if  $K' \cap K_1$  is a subHopf algebra and the left and right adjoint action of  $N' \cap M_1$  leave  $K' \cap K_1$  invariant (see [3]).

Watatani[10] studied intermediate subfactor lattices  $\mathcal{L}(N \subset M)$  and relations between modular identity and commuting and co-commuting (nondegenerate) square conditions. The author[9] proved if the depth of  $N \subset M$  is 2, then the set  $\mathcal{N}(N \subset M)$  of all normal intermediate subfactors of  $N \subset M$  is a sublattice of  $\mathcal{L}(N \subset M)$  and a modular lattice.

Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $\text{II}_1$  factors with finite index. Our purpose is to show Jordan-Hölder type theorem in normal intermediate subfactor lattices for  $N \subset M$ . To be more precise, we prove that if  $M = A_0 \supset A_1 \supset A_2 \supset \cdots \supset A_n = N$  and  $M = B_0 \supset B_1 \supset B_2 \supset \cdots \supset B_m = N$  are maximal chains of  $\mathcal{N}(N \subset M)$ , then  $m = n$  and the inclusions  $A_{i-1} \supset A_i$  are isomorphic to the inclusions  $B_{j-1} \supset B_j$  in some order. To show this, we characterize tensor products of depth 2 inclusions of AFD  $\text{II}_1$  factors with finite index as follows: Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $\text{II}_1$  factors with finite index. Let  $K$  and  $L$  be normal intermediate subfactors for  $N \subset M$ . If  $K \cap L = N$  and  $M$  is generated by  $K$  and  $L$ , then we can represent  $M, K, L, N$  as  $M = P \otimes R, K = Q \otimes R, L = P \otimes S$  and  $N = Q \otimes S$  for some inclusions  $P \supset Q$  and  $R \supset S$ .

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## 2. A CHARACTERIZATION OF TENSOR PRODUCTS OF DEPTH 2 INCLUSIONS

Let  $N \subset M$  be an irreducible, depth 2 inclusion of  $\text{II}_1$  factors with  $[M : N] < \infty$  and  $\mathcal{N}(N \subset M)$  the all normal intermediate subfactors of  $N \subset M$ . Suppose that  $K, L \in \mathcal{N}(N \subset M)$  and  $M$  is generated by  $K$  and  $L$ , and  $N = K \cap L$ . Then

$$\begin{array}{ccc} K & \subset & M \\ \cup & & \cup \\ N & \subset & L \end{array}$$

is commuting and co-commuting (nondegenerate) square (see [6, 8]). Let  $K_1 = \langle K, e_K^M \rangle$  and  $L_1 = \langle L, e_L^M \rangle$  be the basic extension with the Jones projections  $e_K^M$  and  $e_L^M$  for  $K \subset M$  and  $L \subset M$ , respectively. Then it is well known that

$$\begin{array}{ccc} M & \subset & K_1 \\ \cup & & \cup \\ L & \subset & \langle L, e_K^M \rangle \end{array} \quad \text{and} \quad \begin{array}{ccc} M & \subset & L_1 \\ \cup & & \cup \\ K & \subset & \langle K, e_L^M \rangle \end{array}$$

are also nondegenerate commuting squares.

**Lemma 2.1.** *With the above notation,  $L \subset K_1$  and  $K \subset L_1$  are irreducible, depth 2 inclusions. Moreover,  $M$  and  $\langle L, e_K^M \rangle$  are normal intermediate subfactors of  $L \subset K_1$  and,  $M$  and  $\langle K, e_L^M \rangle$  are normal intermediate subfactors of  $K \subset L_1$*

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*Proof.* Since  $L \subset M$  and  $M \subset K_1$  are depth 2 inclusion by [9], the depth of  $L \subset K_1$  is 2 by [7]. Similarly,  $K \subset L_1$  is a depth 2 inclusion. It is easy to see that  $L \subset K_1$  and  $K \subset L_1$  are irreducible inclusions.  $\square$

**Lemma 2.2.** *With the above notation, we have*

$$K' \cap K_1 = \langle K, e_L^M \rangle' \cap M_1 = N' \cap \langle L, e_K^M \rangle$$

$$L' \cap L_1 = \langle L, e_K^M \rangle' \cap M_1 = N' \cap \langle K, e_L^M \rangle.$$

*Proof.* By Lemma 2.1 and [8], we have  $[M : K] = [L : N] = [L_1 : \langle K, e_L^M \rangle]$ . Therefore we have

$$\dim_{\mathbb{C}}(K' \cap K_1) = \dim_{\mathbb{C}}(\langle K, e_L^M \rangle' \cap M_1) = \dim_{\mathbb{C}}(N' \cap \langle L, e_K^M \rangle).$$

Let  $x$  be an element of  $K' \cap K_1$ . Since  $e_L^M$  is an element of the center of  $N' \cap M_1$  and  $K' \cap K_1 \subset N' \cap M_1$ ,  $x$  and  $e_L^M$  are commutative and hence  $x \in \langle K, e_L^M \rangle' \cap M_1$ . So we have  $K' \cap K_1 \subset \langle K, e_L^M \rangle' \cap M_1$ . By  $\dim_{\mathbb{C}}(K' \cap K_1) = \dim_{\mathbb{C}}(\langle K, e_L^M \rangle' \cap M_1)$ , we have  $K' \cap K_1 = \langle K, e_L^M \rangle' \cap M_1$ .

Since  $M_1$  is the basic extension of  $K_1$  by  $\langle L, e_K^M \rangle$  with the Jones projection  $e_L^M$ , we have  $\langle L, e_K^M \rangle = \{e_L^M\}' \cap K_1$ . Since  $e_L^M$  is an element of the center of  $N' \cap M_1 (\supset K' \cap K_1)$ , if  $x$  is an element of  $K' \cap K_1$ , then  $x \in \{e_L^M\}' \cap K_1 = N' \cap \langle L, e_K^M \rangle$ . And hence  $K' \cap K_1 \subset N' \cap \langle L, e_K^M \rangle$ . And  $K' \cap K_1 = N' \cap \langle L, e_K^M \rangle$  by  $\dim_{\mathbb{C}}(K' \cap K_1) = \dim_{\mathbb{C}}(N' \cap \langle L, e_K^M \rangle)$ . Similarly, we have  $L' \cap L_1 = \langle L, e_K^M \rangle' \cap M_1 = N' \cap \langle K, e_L^M \rangle$ .  $\square$

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**Theorem 2.3.** *Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $II_1$  factors with  $[M : N] < \infty$ . If  $K$  and  $L$  are normal intermediate subfactors of  $N \subset M$  such that  $K \cap L = N$  and  $M$  is generated by  $K$  and  $L$ , then we can represent  $M, N, K, L$  as  $M = P \otimes R, N = Q \otimes S, K = Q \otimes R$  and  $L = P \otimes S$*

*Proof.*  $N \subset M$  has the generating property, i.e., there exists a tunnel  $M = N_0 \supset N = N_1 \supset N_2 \supset \cdots \supset N_i \supset \cdots$  such that

$$M = \overline{\bigcup_{i=1}^{\infty} (M \cap N_i)}^{weak} \supset N = \overline{\bigcup_{i=1}^{\infty} (N \cap N_i)}^{weak}$$

(see for example [4, 5]). Let

$$A_{00} \supset A_{01} \supset A_{02} \supset \cdots$$

$$\cup \quad \cup \quad \cup$$

$$A_{10} \supset A_{11} \supset A_{12} \supset \cdots$$

$$\cup \quad \cup \quad \cup$$

$$A_{20} \supset A_{21} \supset A_{22} \supset \cdots$$

$$\cup \quad \cup \quad \cup$$

$$\vdots \quad \vdots \quad \vdots$$

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be the commuting and co-commuting squares such that the initial commuting square is

$$\begin{array}{ccc} M & \supset & L \\ \cup & & \cup \\ K & \supset & N \end{array}$$

and  $A_{ii} = N_i$  for  $i = 1, 2, \dots$  as in [8]. Note that for the square

$$\begin{array}{ccc} A_{kl} & \supset & A_{k,l+1} \\ \cup & & \cup \\ A_{k+1,l} & \supset & A_{k+1,l+1}, \end{array}$$

$A_{kl} \supset A_{k+1,l+1}$  is again irreducible, depth 2 and,  $A_{k,l+1}$  and  $A_{k+1,l}$  are normal intermediate subfactors of  $A_{kl} \supset A_{k+1,l+1}$ . We put

$$\begin{aligned} P &= \overline{\bigcup_{i=1}^{\infty} (A_{00} \cap A'_{i0})}^{weak} \supset Q = \overline{\bigcup_{i=1}^{\infty} (A_{10} \cap A'_{i0})}^{weak} \\ R &= \overline{\bigcup_{i=1}^{\infty} (A_{00} \cap A'_{0i})}^{weak} \supset S = \overline{\bigcup_{i=1}^{\infty} (A_{01} \cap A'_{0i})}^{weak}. \end{aligned}$$

Then we can see  $M = P \otimes R$ ,  $N = Q \otimes S$ ,  $K = Q \otimes R$  and  $L = P \otimes S$  by Lemma 2.2 and [2].  $\square$

### 3. JORDAN-HÖLDER TYPE THEOREM

In this section, we shall prove Jordan-Hölder type theorem for depth 2 inclusions of AFD  $\text{II}_1$  factors.

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**Theorem 3.1.** *Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $II_1$  factor.*

*If  $K$  and  $L$  are normal intermediate subfactors of  $N \subset M$ , then  $K \subset K \vee L$  and  $K \cap L \subset L$  are conjugate.*

*Proof.* Since the set  $\mathcal{N}(N \subset M)$  of all normal intermediate subfactors of  $N \subset M$  is a sublattice of  $\mathcal{L}(N \subset M)$ ,  $K \vee L$  and  $K \cap L$  are elements of  $\mathcal{N}(N \subset M)$ . Therefore  $N \subset K \vee L$  and  $N \subset K \cap L$  are depth 2 inclusion by [9, Theorem 4.6]. Moreover  $K \cap L$  is a normal intermediate subfactor of  $N \subset K \vee L$  by [9, Proposition 3.7]. So we have  $K \cap L \subset K \vee L$  is depth 2 inclusion by [9, Theorem 4.6]. By theorem 2.3, there exist inclusions  $P \supset Q$  and  $R \supset S$  such that  $K \vee L = P \otimes R$ ,  $K = P \otimes S$ ,  $L = Q \otimes R$  and  $K \cap L = Q \otimes S$ . So we can see both  $K \vee L \subset K$  and  $L \supset K \cap L$  are conjugate to  $R \subset S$ .  $\square$

**Theorem 3.2.** *Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $II_1$  factors with  $[M : N] < \infty$ . Let  $K, \tilde{K}, L, \tilde{L}$  be normal intermediate subfactors of  $N \subset M$  with  $K \supset \tilde{K}$  and  $L \supset \tilde{L}$ . Then the pairs  $\tilde{K} \vee (K \cap L) \supset \tilde{K} \vee (K \cap \tilde{L})$  and  $\tilde{L} \vee (K \cap L) \supset \tilde{L} \vee (\tilde{K} \cap L)$  are conjugate.*

*Proof.* Since  $\tilde{K} \vee (K \cap L) = (\tilde{K} \vee (K \cap \tilde{L})) \vee (K \cap L)$ , the pairs  $\tilde{K} \vee (K \cap L) \supset \tilde{K} \vee (K \cap \tilde{L})$  and  $K \cap L \supset (K \cap L) \cap (\tilde{K} \vee (K \cap \tilde{L}))$  are conjugate by the previous theorem. Similarly, the pair  $\tilde{L} \vee (K \cap L) \supset \tilde{L} \vee (\tilde{K} \cap L)$  and  $K \cap L \supset (K \cap L) \cap (\tilde{L} \vee (\tilde{K} \cap L))$  are conjugate. Since  $\mathcal{N}(N \subset M)$  is a modular lattice by [9], we have  $(K \cap L) \cap (\tilde{K} \vee (K \cap \tilde{L})) = ((K \cap L) \cap \tilde{L}) \vee (K \cap \tilde{L}) = (K \cap \tilde{L}) \vee (K \cap \tilde{L})$ . Similarly, we have



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$(K \cap L) \cap (\tilde{L} \vee (\tilde{K} \cap L)) = (K \cap \tilde{L}) \vee (K \cap \tilde{L})$ . We have thus proved the theorem.  $\square$

In a lattice  $L$ , a finite chain  $x = x_0 \supseteq x_1 \supseteq \cdots \supseteq x_d = y$  is maximal if  $x_i \not\supseteq x_{i+1}$  and  $x_i \supseteq a \supseteq x_{i+1}$  implies  $x = a$  or  $x_{i+1} = a$  for  $i = 1, 2, \dots, d-1$ .

**Theorem 3.3.** *Let  $N \subset M$  be an irreducible, depth 2 inclusion of AFD  $\text{II}_1$  factors with  $[M : N] < \infty$ . If  $M = A_0 \supset A_1 \supset \cdots \supset A_n = N$  and  $M = B_0 \supset B_1 \supset \cdots \supset B_m$  are two maximal chain of  $\mathcal{N}(N \subset M)$ , then  $m = n$  and the inclusions  $A_{i-1} \supset A_i$  are isomorphic to the inclusions  $B_{j-1} \supset B_j$  in some order.*

*Proof.* Put

$$A_{ij} = A_i \vee (A_{i-1} \cap B_j)$$

and

$$B_{ji} = B_j \vee (A_i \cap B_{j-1}).$$

Then  $A_{i,j-1} \supset A_{ij}$  is isomorphic to  $B_{j,i-1} \supset B_{ji}$  by Theorem 3.2. Since  $A_0 \supset A_1 \supset \cdots \supset A_s$  is maximal chain, for any  $i (i = 1, 2, \dots, s)$ , there uniquely exists  $j$  such that  $A_{i-1} = A_{i,j-1} \supset A_{ij} = A_i$ . Then  $B_{j-1} = B_{j,i-1} \not\supseteq B_{ji} = B_j$ . And hence  $A_{i-1} \supset A_i$  is isomorphic to  $B_{j-1} \supset B_j$ .  $\square$

**Example 3.4.** Let  $G$  be a semi direct group  $B \rtimes A$  of finite groups  $A$  and  $B$ . Let

$$M = P \rtimes_\gamma B \supset N = P^{(A,\gamma)} = \{x \in P \mid \gamma_a(x) = x, \forall a \in A\},$$

where  $\gamma$  is an outer action of  $G$  on  $\text{II}_1$  factor  $P$ . Then the depth of  $N \subset M$  is 2 (see for example [7]). Let  $A_0 = A \supsetneq A_1 \supsetneq \cdots \supsetneq A_r = \{e\}$  and  $B_0 = B \supsetneq B_1 \supsetneq$

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$\cdots \supsetneq B_s = \{e\}$  be normal subgroups of  $G$  such that if  $H$  is a normal subgroup of  $G$  with  $A_{i-1} \supsetneq H \supset A_i$  or  $B_{j-1} \supsetneq H \supset B_j$ , then  $H = A_i$  or  $H = B_j$ . Then  $M = P \rtimes_\gamma B_0 \supset P \rtimes_\gamma B_1 \supset \cdots P = P^{(A_r, \gamma)} \supset P^{(A_{r-1}, \gamma)} \supset \cdots P^{(A_0, \gamma)} = N$  is a maximal chain of  $\mathcal{N}(N \subset M)$  by [9]. Therefore if  $M = C_0 \supset C_1 \supset \cdots C_n = N$  a maximal chain of  $\mathcal{N}(N \subset M)$ , then  $n = r + s$  and the inclusions  $C_{k-1} \supset C_k$  are isomorphic to  $R \rtimes F \supset P$  or  $R \supset R^F$  for some  $\text{II}_1$  factor and some finite group  $F$ .

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